

Standardization of Pre-analytical Procedures for Diagnostics and Clinical Research: SPIDIA4P Project

OECD 2021 ONCOLOGY DAYS

Jun 16th, 2021

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www.spidia.eu

SPIDIA – FP7 (2008 – 2013)

- ⇒ 16 Partners
- New technologies for sample collection, stabilization, processing, transport, storage (Blood, Tissues)
- 9 EU CEN Standards

SPIDIA4P – H2020 (2017 – 2021)

- ⇒ 19 Partners
- ⇒ 14 associated consortia & stakeholder organizations
- 13 additional new CEN & ISO Standards
- EQAs
- European and International implementation

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Deficiencies in Routine Healthcare and Research demand for Improvements



- Diagnostic errors cause about 10% of all patient deaths and about 17% of adverse events

Institute of Medicine (IOM) Report Sept. 2015

- Pre-analytical phase accounts for 46% to 68% of clinical laboratory errors

Medical Laboratory Observer, May 2014



- Irreproducible preclinical research exceeds 50%, US \$28B / year spent on preclinical research that is not reproducible - in the US

Freedman LP, Cockburn IM, Simcoe TS (2015) PLoS Biol 13(6): e1002165.doi:10.1371/journal.pbio.1002165

An Analytical Test Result is the Result of an Entire Workflow

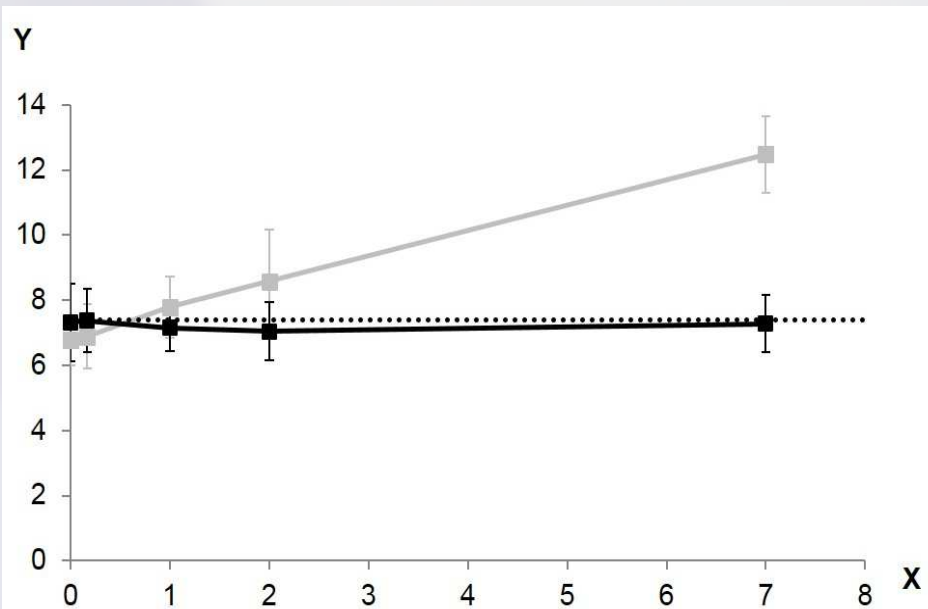


Specifying, developing and verifying preanalytical workflows is an essential part of analytical test development



European Conference. Standards: Your Innovation Bridge. Brussels (2014). SPIDIA Booth.

Post Blood Collection ccfDNA Profile Changes - Impact on EGFR Test



X venous whole blood storage duration (in days) before plasma preparation

Y $\Delta CT = CT (\text{mutant}) - CT (\text{wildtype control})$

□ EDTA Blood

■ Stabilized Blood




.... Threshold (given by the examination provider)

The average of 8 donors is shown

- Spiked restriction enzyme treated EGFR DNA with mutation T790M, equivalent to 200 copies
- ccfDNA tested with the commercially available EGFR Plasma PCR Kit (RUO)

Source:

ISO 20186-3:2019: *Molecular in vitro diagnostic examinations — Specifications for pre- examination processes for venous whole blood — Part 3: Isolated circulating cell free DNA from plasma. Annex A.*

- **Technologies**
- **ISO & CEN Standards** 
- **External Quality Assessment (EQA) Schemes** 
- **Implementation** - healthcare, biobanking, research 

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SPIDIA's Road to Standardization

under Vienna Agreement (1991)

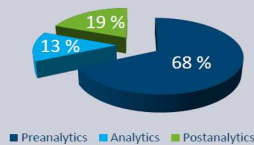



- 2019 : 8 ISO/International Standards
- 2014: 8 new projects for ISO Standards approved in ISO/TC 212 „Clinical laboratory testing and in vitro diagnostic test systems”

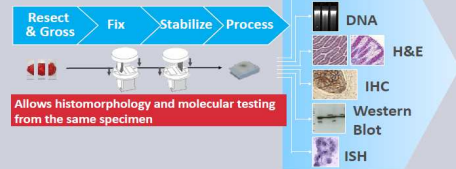


- 2015: 9 CEN Technical Specifications published
- 2013: 9 new projects approved in CEN/TC 140 „In vitro diagnostic medical devices“
- 2010: Start of standardization work

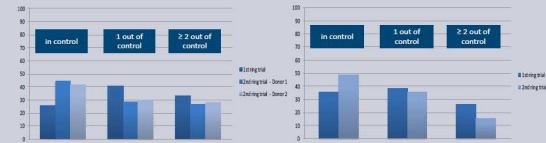
1. Problem - Errors in Diagnostics



2. Technical Solutions



3. Ring-Trials – Blood RNA (l.) and DNA (r.)



European Conference. Standards: Your Innovation Bridge. Brussels (2014). SPIDIA Booth.



INTERNATIONAL
STANDARD

ISO
20186-3

First edition
2019-09

Molecular in vitro diagnostic examinations — Specifications for pre-examination processes for venous whole blood —

**Part 3:
Isolated circulating cell free DNA
from plasma**

*Analyses de diagnostic moléculaire in vitro — Spécifications relatives aux processus préanalytiques pour le sang total veineux —
Partie 3: ADN libre circulant extrait du plasma*



Reference number
ISO 20186-3:2019(E)

© ISO 2019

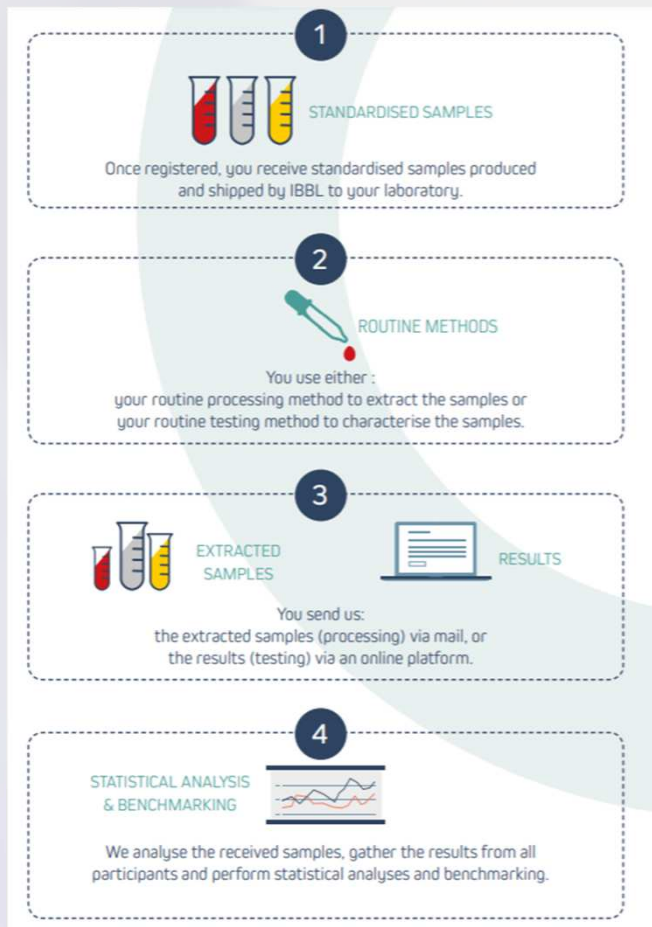
- Molecular in-vitro diagnostic examinations - Specifications for pre-examination processes for
 - **Blood** — Cellular RNA, gDNA, ccfDNA, ccfRNA
 - **Blood** – Exosomes / EVs
 - **Blood Tumor Cells** – DNA, RNA, staining
 - **Tissue (FFPE)** — DNA, RNA, Proteins
 - **Tissue (Frozen)** – DNA, RNA, Proteins
 - **Tissue (FFPE)** – in situ staining
 - **Fine Needle Aspirates** – DNA, RNA, Proteins
 - **Saliva** – DNA
 - **Urine & Body Fluids** – cfDNA
 - **Metabolomics** – Urine, Serum, Plasma
 - **Microbiome** – Stool, Saliva etc.

published CEN

published ISO

in development





Implemented by Integrated Biobank of Luxembourg (IBBL) in annual PT Program

- DNA extraction from whole blood
- RNA extraction from whole blood
- DNA extraction from FFPE material
- RNA extraction from FFPE material
- Microbial DNA extraction from saliva
- Microbial DNA extraction from stool
- DNA extraction from frozen tissue
- Total RNA extraction from frozen tissue
- Cell-free DNA (cfDNA) extraction from whole blood
- Cell-free RNA (cfRNA) extraction from plasma
- Dual DNA/RNA Extraction from Frozen Tissue
- Circulating Tumor Cells (CTC) Detection and Isolation
- Viable PBMC isolation

REGULATION (EU) 2017/746 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL
of 5 April 2017
on *in vitro* diagnostic medical devices and repealing Directive 98/79/EC and Commission Decision 2010/227/EU
(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 and Article 168(4)(c) thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the opinion of the European Economic and Social Committee ⁽¹⁾,

After consulting the Committee of the Regions,

Acting in accordance with the ordinary legislative procedure ⁽²⁾,

Whereas:

- (1) Directive 98/79/EC of the European Parliament and of the Council ⁽¹⁾ constitutes the Union regulatory framework for *in vitro* diagnostic medical devices. However, a fundamental revision of that Directive is needed to establish a robust, transparent, predictable and sustainable regulatory framework for *in vitro* diagnostic medical devices which ensures a high level of safety and health whilst supporting innovation.
- (2) This Regulation aims to ensure the smooth functioning of the internal market as regards *in vitro* diagnostic medical devices, taking as a base a high level of protection of health for patients and users, and taking into account the small and medium sized enterprises that are active in this sector. At the same time, this Regulation

- entered into force on 26 May 2017
- will replace the EU's current Directive on *in vitro* diagnostic medical devices (98/79/EC)
- transition period until 26 May 2022

➤ **Pre-analytical workflow parameters** in several sections

- 6. PRODUCT VERIFICATION AND VALIDATION (Annex II)
- 6.1. Information on analytical performance of the device
- 6.1.1. Specimen type

This Section shall describe the different specimen types that can be analysed, including their stability such as storage, where applicable specimen transport conditions and, with a view to time-critical analysis methods, information on the timeframe between taking the specimen and its analysis and storage conditions such as duration, temperature limits and freeze/thaw cycles

➤ **State-of-the-Art** required for device developments in various articles and annexes

Role of Standards and Technologies

New EU IVDR – in-vitro Diagnostic Device Regulation 2017



Pre-analytical workflow parameters



EN ISO & CEN Standards



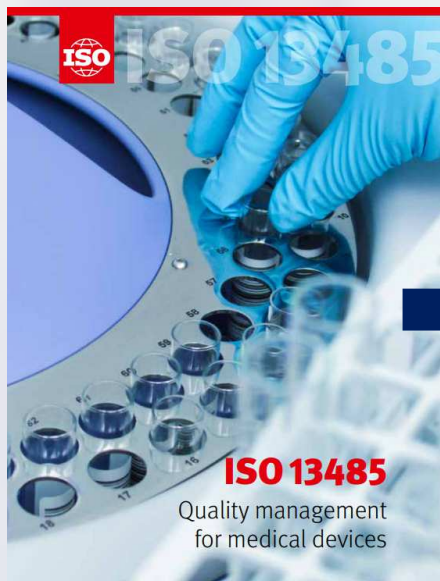
SOPs



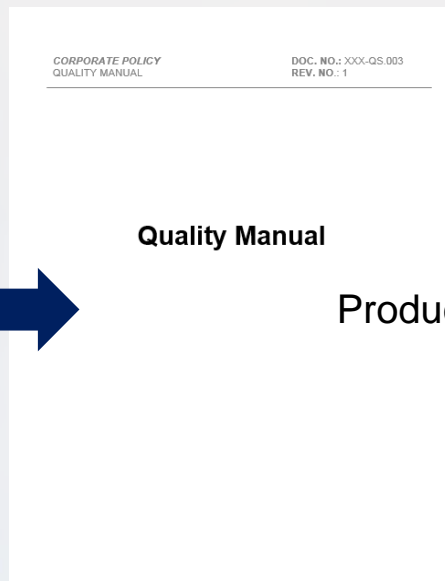
Technologies & Products



Example: SPIDIA4P industry partner PreAnalytiX – ISO 20186 series in CE-IVD and FDA projects



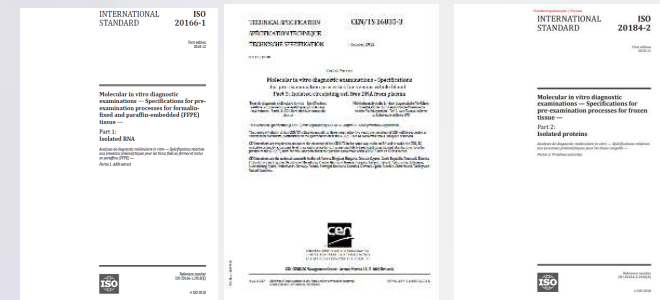
Certification according to ISO 13485



Company Quality Manual: Process Landscape

Product Development Process

Global Process SOPs incl. legal requirements



Pre-examination process for RNA from venous whole blood according to EN ISO 20186-1:2019

Blood coll. 06.05.2017
 Project: 2017-02-11-1
 Type and purpose: QMS/Pre-Analytical Blood RNA protocol optimization (QCM activity)

This spreadsheet is not part of the lab journal documentation and therefore does not need to be audited. An extract of information for lab journal documentation can be found in separate spreadsheets "extract for lab journal".

Order ID	Order description (incl. lot No.)	Lot No.	Blood sample ID (incl. labelling)	Time blood collection (DD.MM.YYYY hh:mm)	Venipuncture technique	Phlebotomy full name	Gender	Health status
01	1. Smi Patigene Blood RNA Tube (DE1245)	7017923						
	2. Smi Patigene Blood RNA Tube (DE1245)	7017924	COU18001_01					
	3. Smi Patigene Blood RNA Tube (DE1245)	7017925	COU18001_02					
	4. Smi Patigene Blood RNA Tube (DE1245)	7017926	COU18001_04					
	5. Smi Patigene Blood RNA Tube (DE1245)	7017927	COU18001_05					
	6. Smi Patigene Blood RNA Tube (DE1245)	7017928	COU18001_06					
	7. Smi Patigene Blood RNA Tube (DE1245)	7017929	COU18001_07					
	8. Smi Patigene Blood RNA Tube (DE1245)	7017930	COU18001_08					
	9. Smi Patigene Blood RNA Tube (DE1245)	7017931	COU18001_09					
	10. Smi Patigene Blood RNA Tube (DE1245)	7017932	COU18001_10					
	11. Smi Patigene Blood RNA Tube (DE1245)	7017933	COU18001_11					
	12. Smi Patigene Blood RNA Tube (DE1245)	7017934	COU18001_12					
	13. Smi Patigene Blood RNA Tube (DE1245)	7017935	COU18001_13					
	14. Smi Patigene Blood RNA Tube (DE1245)	7017936	COU18001_14					
	15. Smi Patigene Blood RNA Tube (DE1245)	6118008	COU18001_15					
	16. Smi Patigene Blood RNA Tube (DE1245)		COU18001_01					
	17. Smi Patigene Blood RNA Tube (DE1245)							
	18. Smi Patigene Blood RNA Tube (DE1245)							
	19. Smi Patigene Blood RNA Tube (DE1245)							
	20. Smi Patigene Blood RNA Tube (DE1245)							

Technical SOPs for pre-analytical workflows based on ISO & CEN standards



A big Thank You goes to . . .

. . . to the SPIDIA & SPIDIA4P Consortium Members, CEN/TC 140, ISO/TC 212 and all European and International Partners!



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**CORONA CAN'T STOP US:
SPIDIA4P GOES VIRTUAL!**

